

Attorney's Docket No.: 42390.P13765

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )  
)  
David Harriman ) Examiner: Not Yet Assigned  
)  
Application No.: 10/041,028 ) Art Unit: Not Yet Assigned  
)  
Filed: December 28, 2001 )  
)  
For: COMMUNICATING )  
TRANSACTION TYPES )  
BETWEEN AGENTS IN A )  
COMPUTER SYSTEM USING )  
PACKET HEADERS INCLUDING )  
AN EXTENDED TYPE/ )  
EXTENDED LENGTH FIELD )

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Parts

**Response To Notice To File Missing Parts Of Application  
(Filing Date Granted)**

Sir:

In response to the Notice to File Missing parts of Nonprovisional Application  
(Filing Date Granted) mailed February 1, 2001, please find enclosed:

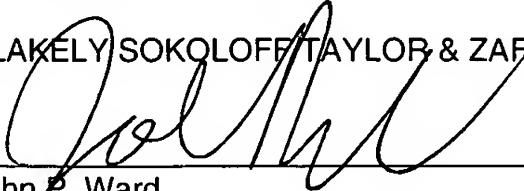
- (1) a duly executed Declaration and Power of Attorney with respect to the  
above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37  
C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Dated: 3/6/02

  
\_\_\_\_\_  
John P. Ward  
Reg. No. 40,216

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

**FIRST CLASS CERTIFICATE OF MAILING**

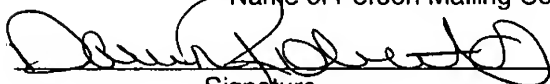
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on \_\_\_\_\_

3/6/02  
Date of Deposit

\_\_\_\_\_  
Dawn Roberts

Name of Person Mailing Correspondence

  
\_\_\_\_\_  
Signature

3/6/02  
\_\_\_\_\_  
Date



UNITED STATES PATENT AND TRADEMARK OFFICE

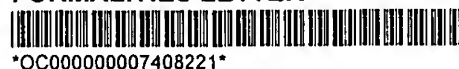
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

MAR 25 2002

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/041,028	12/28/2001	David Harriman	42390.P13765

CONFIRMATION NO. 2623

FORMALITIES LETTER



\*OC00000007408221\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

Date Mailed: 02/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*Jessie Amber Lee*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/26/2002 HNDOR1 00000084 10041028

01 FC:105

130.00 OP

42390.P18965



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David Harriman

Serial No.: 10/041,028

Filed: December 28, 2001

For: COMMUNICATING TRANSACTION )  
TYPES BETWEEN AGENTS IN A )  
COMPUTER SYSTEM USING )  
PACKET HEADERS INCLUDING AN )  
EXTENDED TYPE/EXTENDED FIELD )

Examiner: Not Yet Assigned

Art Unit: 2152

Assistant Commissioner for Patents  
Washington, D.C. 20231

**REQUEST TO CORRECT AN ERROR IN THE FILING RECEIPT**

Dear Sir:

In the filing receipt for the above-referenced patent application filed on December 28, 2001, the title was recorded incorrect as follows:

COMMUNICATING TRANSACTION TYPES BETWEEN AGENTS IN A COMPUTER  
SYSTEM USING PACKET HEADERS INCLUDING AN EXTENDED TYPE/EXTENDED  
LENTGH FIELD

The correct title is as follows:

COMMUNICATING TRANSACTION TYPES BETWEEN AGENTS IN A COMPUTER  
SYSTEM USING PACKET HEADERS INCLUDING AN EXTENDED TYPE/EXTENDED  
LENGTH FIELD

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail with sufficient postage  
in an envelope addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231

on 3/10/02  
Date of Deposit  
Dawn Roberts  
Name of Person Mailing Correspondence  
Dawn Roberts 3/10/02  
Signature Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/041,028	12/28/2001	2152	740	42390.P13765	11	18	3

CONFIRMATION NO. 2623

## FILING RECEIPT



\*OC00000007408220\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

Date Mailed: 02/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David Harriman, Residence Not Provided;

**ENTERED**

FEB 06 2002

## Domestic Priority data as claimed by applicant

**STATUS DB-LA**

## Foreign Applications

If Required, Foreign Filing License Granted 02/01/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**RECEIVED**  
FEB 05 2002

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

## Title

Communicating transaction types between agents in a computer system using packet headers  
including an extended type/extended length field

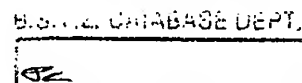
Length

## Preliminary Class

709

**RECEIVED**

FEB 12 2002



**ENTERED**  
2/12/2002

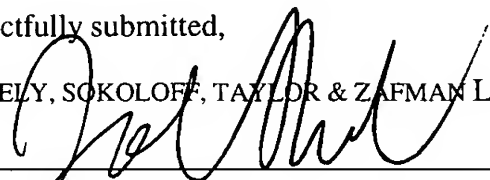
Enclosed please find a copy of the official Filing Receipt form PTO-103X and a copy of the Declaration/Power Of Attorney. Please forward a corrected copy of the official Filing Receipt to the undersigned.

Dated: 3/6/02

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

  
\_\_\_\_\_  
John P. Ward  
Reg. No. 40,216



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Cod , Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).